

09/74/207

Under the American Invention Act of 1999, no person is required to secure to a collection of information unless it is a collection of information which is required to be collected by a Federal agency and is not otherwise required to be collected by a Federal agency.

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| STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b))—INDEPENDENT INVENTOR | | Docket Number (Optional) 2-604.6-1 |
| Applicant, Patent, or Identifier: <u>Timothy P. Barber</u> | | |
| Application of Patent for: <u>XXXXX</u> | | |
| Filed or Subject: <u>Herewith</u> | | |
| Title: <u>Method for Secure, Closed-Loop Money Transfer Via Electronic Mail</u> | | |
| As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in: | | |
| <input checked="" type="checkbox"/> The specification filed herewith with title as listed above. | | |
| <input type="checkbox"/> The application identified above. | | |
| <input type="checkbox"/> The patent identified above. | | |
| I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.8(d) or a nonprofit organization under 37 CFR 1.9(e). | | |
| Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below. | | |
| <input checked="" type="checkbox"/> No such person, concern, or organization exists. | | |
| <input type="checkbox"/> Each such person, concern, or organization is listed below. | | |
| Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27) | | |
| I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)) | | |
| <u>Timothy P. BARBER</u> NAME OF INVENTOR | NAME OF INVENTOR | NAME OF INVENTOR |
| <u>[Signature]</u> Signature of inventor | Signature of inventor | Signature of inventor |
| <u>19 Dec 00</u> Date | Date | Date |

Small Entity Statement: This form is estimated to take 22 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

COMBINED DECLARATION AND POWER OF ATTORNEY

2-604.6-1
(Docket Number)

As a below named inventor, I hereby declare that:

- my residence, post office address and citizenship are as stated below next to my name;
- I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **METHOD FOR SECURE, CLOSED-LOOP MONEY TRANSFER VIA ELECTRONIC MAIL.**
- the specification of which is attached hereto unless the following box is checked: ☐ If the box is checked, the application was filed on as U.S. Application Number or PCT International Application Number and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application | | | Priority Not Claimed |
|---------------------------|-----------|------------------------|--------------------------|
| (Application Number) | (Country) | (Day/Month/Year Filed) | <input type="checkbox"/> |
| (Application Number) | (Country) | (Day/Month/Year Filed) | <input type="checkbox"/> |

To the extent permitted by rule or law, I hereby incorporate by reference the Prior Foreign Application(s) listed above.

I hereby claim the benefits under 35 U.S.C. §119(c) of any United States provisional application(s) listed below:

| | |
|--|------------------------------------|
| 60/172,656 (Provisional Application Number) | 20/12/99 (Day/Month/Year Filed) |
| (Provisional Application Number) | (Day/Month/Year Filed) |

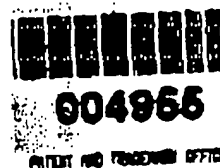
I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability, as defined in 37 CFR §1.56, which became available between the filing date of the prior application and the national or PCT International filing date of this application.

| | | |
|----------------------|------------------------|--|
| (Application Number) | (Day/Month/Year Filed) | (Status--patented, pending, abandoned) |
| (Application Number) | (Day/Month/Year Filed) | (Status--patented, pending, abandoned) |

I hereby appoint the attorney(s) and/or agent(s) assigned to the customer number listed below, as may from time to time be amended, belonging to the firm of Ware, Fressola, Van Der Sluys & Adolphson LLP, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Customer Number

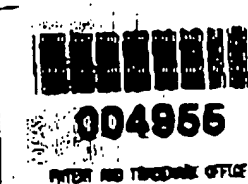
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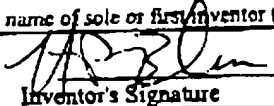
Address all telephone calls to: Ware, Fressola, Van Der Sluys & Adolphson LLP at (203) 261-1234. Address all correspondence to:

Customer Number

4955



I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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|--|-------------|
| Timothy P. BARBER | |
| Full name of sole or first inventor (given name, middle initial, FAMILY NAME(S) IN UPPER CASE) | |
|  | 19 Dec 00 |
| Inventor's Signature | Date |
| Boise, Idaho | U.S. |
| Residence | Citizenship |
| Post Office Address: 120 North Mobley Drive, Boise, ID 83712 | |

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|---|-------------|
| Full name of second inventor (given name, middle initial, FAMILY NAME(S) IN UPPER CASE) | |
| | |
| Inventor's Signature | Date |
| | |
| Residence | Citizenship |
| Post Office Address: | |

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|--|-------------|
| Full name of third inventor (given name, middle initial, FAMILY NAME(S) IN UPPER CASE) | |
| | |
| Inventor's Signature | Date |
| | |
| Residence | Citizenship |
| Post Office Address: | |

☐ Additional inventors are being named on separately numbered sheets attached hereto.